

09-27-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Utility Patent Application Transmittal Letter

Date : September 25, 2001  
Reference No.: 0007975-0014/CIT-3289

Assistant Commissioner For Patents  
Washington, D.C. 20231

Enclosed for filing is a patent application (specification, claims, Declaration and Power of Attorney)

ENTITLED: HYBRID NARROW-LINEWIDTH SEMICONDUCTOR LASERS

INVENTOR(S): Randall K. Bartman; Alexander Ksendzov and Serge Dubovitsky

( ) This application is a \_\_\_\_\_ of Application No. \_\_\_\_\_, filed \_\_\_\_\_.  
A copy of this letter is enclosed for filing in the prior application file.

CALCULATION OF FEES				
ITEM	NUMBER OF CLAIMS FILED MINUS BASE*	NUMBER OF CLAIMS OVER BASE X RATE	\$ AMOUNT	\$ FEE
A TOTAL CLAIM FEES	30-20* = 10	10 x \$ 9.00	90.00	
B INDEPENDENT CLAIMS FEE**	2-3 = 0	0 x \$ 40.00	0	
C SUBTOTAL			SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 x (A + B)	90.00
D BASIC FEE*			SMALL ENTITY FEE = \$ 355.00 LARGE ENTITY FEE = \$ 710.00	355.00
E MULTIPLE-DEPENDENT CLAIMS FEE			SMALL ENTITY FEE = \$ 135.00 LARGE ENTITY FEE = \$ 270.00	0
F ASSIGNMENT RECORDING FEE			\$ 40.00	40.00
G TOTAL FEE (ADD LINES C, D, E AND F)				485.00

\*\*List Independent Claims: 1 and 16

\_\_\_\_\_ This application is incomplete because:

- \_\_\_\_\_ No filing fee is enclosed. (If no filing fee is enclosed, ignore authorization of the Deposit Account.)  
\_\_\_\_\_ No executed declaration.

Also enclosed are:

- ☒ Check for \$ 485.00  
\_\_\_\_\_ Small entity claimed under 37 CFR 1.27.  
\_\_\_\_\_ A Petition for Extension of Time for the parent application and the required fee.  
\_\_\_\_\_ Sheets(s) of informal drawings, FIGS. 1 to \_\_\_\_\_. Formal drawings will be sent after Notice of Allowance.  
☒ 5 Sheet(s) of formal drawings, FIGS. 1 to 5.  
☒ An Assignment of the invention with the Recordation Form Cover Sheet.  
\_\_\_\_\_ Other enclosures: **Copy of Assignment dated**

<input checked="" type="checkbox"/>	The Commissioner is authorized during the prosecution of this application to charge fees that may be required or credit any overpayment of fees to Deposit Account No. 50-1215, except for payment of patent issue fees required under 37 CFR § 1.18. Please show our above-referenced number with any credit or charge to our Deposit Account. A copy of this letter is enclosed.
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Please address all correspondence to J. D. Harriman II, COUDERT BROTHERS, 333 South Hope Street, 23<sup>rd</sup> Floor, Los Angeles, California 90071. Telephone No. (213) 229-2900.

CERTIFICATE OF MAILING 37 CFR §1.10

"Express Mail" Mailing Label Number: EL 782719767 US

Date of Deposit: September 25, 2001

I hereby certify that this paper, accompanying documents and fee are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

*Jose Ramos*  
Jose Ramos

Respectfully submitted,

COUDERT BROTHERS

By:

*J.D. Harriman II*  
J.D. Harriman II  
Reg. No. 31,967